



## MEDICAL COLLEGE OF GEORGIA Significant Financial Interest Disclosure Form

(Applicable to all Sponsored Project Proposals)

Faculty/Staff Name: \_\_\_\_\_  
Department/Unit: \_\_\_\_\_  
School/Unit: \_\_\_\_\_  
Proposal Submitted to (Sponsor): \_\_\_\_\_  
Proposal Title: \_\_\_\_\_

I have read and understood the Conflict of Interest Policy of the Medical College of Georgia. I am disclosing the following significant financial interests (check at least one) and attaching supporting documentation (in an envelope marked confidential) that identifies the business enterprise or entity involved and the nature and amount of the interest:

[Investigator's significant financial interests are defined as anything of monetary value, including, but not limited to, salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g., stocks, stock options or other ownership interest); and intellectual property rights (e.g., patents, trademarks, copyrights and royalties from such rights). "Investigator" includes Investigator and the Investigator's spouse or dependent children. See reverse of this page or MCG Conflict of Interest Policy for items not included.]

- Salary or other payment for services (e.g., consulting fees or honoraria).
- Equity interests (e.g., stocks, stock options, or other ownership interests).
- Intellectual property rights (e.g., patents, trademarks, copyrights, and royalties from such rights).
- Other significant financial interest of the Investigator that possibly could affect or be perceived to affect the results of the research or other scholarly activities funded or proposed for funding.

### Further I agree:

- To update this disclosure during the period of the award, either on an annual basis, or as new reportable significant financial interests are obtained.
- To cooperate in the development of a conflict of interest "resolution plan."
- To comply with any conditions or restrictions imposed by the Medical College of Georgia to manage, reduce, or eliminate actual or potential conflicts of interest or forfeit the award.

Signed: \_\_\_\_\_ Date: \_\_\_\_\_

(Original signature only—a "per" signature is not acceptable.)

Received: \_\_\_\_\_ Date: \_\_\_\_\_

# MEDICAL COLLEGE OF GEORGIA

## Investigator Significant Financial Interest Disclosure Process

(Applicable to all Sponsored Project Proposals)

### What is required?

Federal regulations require institutions to have policies and procedures in place that ensure that Investigators disclose any significant financial interest that may present an actual or potential conflict of interest in relationship to federally sponsored research projects. Such disclosures must be made **prior to the submission of a proposal** for funding,\* and institutions must develop specific mechanisms by which conflicts of interest will be satisfactorily managed, reduced, or eliminated prior to award or acceptance of an award.

\* If a new reportable significant conflict of interest arises at any time during the period after the submission of the proposal through the period of the award, the filing of a disclosure is also required.

### Who is covered?

"Investigator" means the principal investigator/project director and any other person at the Medical College of Georgia who is responsible for the design, conduct, or reporting of sponsored project activities funded, or proposed for funding, by an external sponsor. In this context, the term "Investigator" includes the Investigator's spouse and dependent children.

### What must be disclosed?

Each Investigator shall disclose all *significant financial interests*:

- (i) that would reasonably appear to be affected by the sponsored project activities funded, or proposed for funding, by an external sponsor; or
- (ii) in entities whose financial interests would reasonably appear to be directly and significantly affected by such activities.

### What is covered?

**"Significant financial interests"** means anything of monetary value, including, but not limited to, salary or other payments for services (e.g., consulting fees or honoraria); equity interests (e.g., stocks, stock options or other ownership interests); and intellectual property rights (e.g., patents, trademarks copyrights and royalties from such rights). The term does not include:

- (1) salary, royalties, or other remuneration from Institution;
- (2) income from seminars, lectures, or teaching engagements sponsored by public or nonprofit entities;
- (3) income from service on advisory committees or review panels for public or nonprofit entities; or
- (4) an equity interest that, when aggregated for the investigator and the investigator's spouse and dependent children, meets both of the following tests: [i] does not exceed \$10,000 in value as determined through reference to public prices or other reasonable measures of fair market value, and [ii] does not represent more than a 5% ownership in any single entity; or
- (5) salary, royalties or other payments that, when aggregated for the investigator and the investigator's spouse and dependent children, are not expected to exceed \$10,000 during the next twelve-month period.

### Summary of Procedures: [See MCG Conflict of Interest Policy for Complete Procedures]

(1 ) All Investigators must disclose their significant financial interests utilizing this form and attaching all required supporting documentation. The completed form and packet must be submitted to the appropriate "Responsible Representative of the Institution" as defined in the MCG Conflict of Interest Policy, at or prior to the time the proposal is submitted with the Medical College of Georgia Grant/Contract/Agreement Routing Form to the Office of Grants and Contracts. Supporting documentation should be submitted with this Disclosure in a sealed envelope marked *confidential*.

(2) In accordance with Federal regulations, a complete disclosure must be made by Investigator(s) *prior to submission of the proposal*.

(3) Resolutions to conflicts of interest will be incorporated in a "resolution plan" that is executed between the Investigator(s) and the Responsible Representative prior to award or award acceptance.